

38.G2856



PATENT APPLICATION

2874
#485
K. J. JONES
9/27/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
TOSHIHIKO OUCHI ET AL.) Examiner: Not Yet Assigned
Application No.: 09/903,709) Group Art Unit: Unassigned
Filed: July 13, 2001)
For: SURFACE OPTICAL DEVICE)
APPARATUS, METHOD OF)
FABRICATING THE SAME, AND)
APPARATUS USING THE SAME : September 17, 2001

Commissioner for Patents
Washington, D.C. 20231

RECEIVED
SEP 20 2001
TC 2800 MAIL ROOM

INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO-1449. Copies of the listed documents are also enclosed.

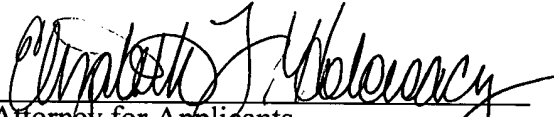
The concise explanation of relevance for the non-English documents is provided in the form of corresponding English language abstracts and in the specification. In addition, U.S. Patent No. 5,434,939 cited herein corresponds to JP 6-237016.

CONCLUSION

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

Applicants' undersigned attorney may be reached in our New York office by telephone at (212) 218-2100. All correspondence should continue to be directed to our address given below.

Respectfully submitted,



Attorney for Applicants
Registration No. 42,667

FITZPATRICK, CELLA, HARPER & SCINTO
30 Rockefeller Plaza
New York, New York 10112-3801
Facsimile: (212) 218-2200